



INDIAN INSTITUTE OF BANKING & FINANCE

(An ISO 21001:2018 Certified Institute)

Professional Development Centre – Western Zone

“Program on Preventive Vigilance & Fraud Management”

In Virtual Mode

From 27th to 29th January 2025



Open to Members & Non-Members

Individual participants can also register for the programme at their own cost

Program Co-Ordinators : Mr. Shiv Kumar Gupta & Shijoy Joseph
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BRIEF BACKGROUND

Established on 30th April 1928 as a company under Section 26 of the Indian Companies Act, 1913, the Indian Institute of Banking & Finance (IIBF), formerly known as the Indian Institute of Bankers (IIB), is a professional body of Banks, Financial Institutions, and their employees in India. IIBF during its 96 years of service been actively involved in examination, training & academics. It has emerged as a premier institute in banking and finance education.

Considering the emerging requirements of finance professionals in the ever-changing dynamic environment, IIBF has been providing quality training. The programs are designed in consultation with industry experts and human resources personnel with an endeavour to address the skill gaps on a continuous basis.

The regular offerings in varied areas prepare the finance professionals ahead of the impending change. IIBF has state-of-the-art training facilities at its Leadership Centre at Mumbai, and it has four Professional Development Centers (PDCs) at Mumbai, Delhi, Chennai and Kolkata catering to the increasing demand for the focused training programmes.

ABOUT THE PROGRAMME

The Indian financial industry has experienced a surge in frauds, posing significant challenges for banks and financial institutions. Despite stringent regulations, the growing frequency, complexity, and scale of frauds impact profitability, erode stakeholder trust, and contribute to rising Non-Performing Assets (NPAs). Timely detection and reporting of fraudulent activities, as mandated by the RBI's Master Directions on Frauds, are critical to safeguarding the sector.

Frauds not only lead to financial losses but also undermine trust in the system, potentially destabilizing the economy. While technological advancements have enhanced services, they have also increased the sector's vulnerability to fraud. To address these challenges, banks and FIs must adopt a robust preventive vigilance framework.

Key measures include leveraging advanced IT systems, enforcing stringent policies, ensuring compliance, and fostering a culture of integrity. Strengthening fraud detection, mitigation, and control mechanisms through early identification, thorough investigation, and effective information sharing is essential to protect the financial sector and maintain public confidence.

OBJECTIVES

- **Strengthen Fraud Risk Management:** Enhance understanding of fraud risk management by focusing on prevention, detection, and reporting, while equipping participants with tools to identify early warning signals and respond promptly to potential fraud activities.
- **Foster Vigilance and Governance:** Highlight the critical role of vigilance in governance to strengthen preventive measures, improve operational efficiency, and promote a culture of transparency and accountability for long-term institutional integrity.
- **Develop Mitigation Skills:** Build capabilities for effective fraud mitigation by leveraging robust IT systems, enforcing stringent compliance processes, and fostering a proactive approach to safeguarding institutional assets.

CONTENT OVERVIEW

- **Fraud Risk Management Framework:** Understand the FRM policy, organizational structure, and approaches to managing internal and external frauds, with a focus on aligning practices with institutional policies.
- **Fraud Prevention in Banking Operations and Technology:** Address operational frauds such as KYC lapses, cheque frauds, and money laundering, alongside combating technology-related threats like identity theft, card frauds, and cyberattacks through robust IT security frameworks.
- **Loan Frauds and Preventive Vigilance:** Explore frameworks for preventing fraud in loan operations as per RBI directions and CVC guidelines, while implementing measures to detect and control fraud in corporate credit, trade finance, and government schemes.

METHODOLOGY

- Virtual (Online) interactive lectures, Case Studies, presentations and sharing of experiences on ZOOM.
- Live sessions by Faculty shall be conducted and participants can participate in the sessions from home or office using Laptop/Desktop/iPad/Smart Phones.
- Login details for accessing the sessions shall be shared on registered mail ids of participants 24 hours before the program.

TARGET GROUP

Employees in key operational roles, such as branch managers, risk officers, and compliance staff. It also focuses on middle and senior management overseeing vigilance, fraud prevention, and governance. Specialized teams like internal audit, IT security, and legal personnel are also ideal participants. (Banks/FIs may send their nominations in bulk.)

DURATION

3 Days - From 27th to 29th January 2025

Timings: 10.00 A.M. to 05.15 P.M. (First day kindly login by 09.45 am)

FEES

₹ 6000/- (Six Thousand only) plus GST @18% aggregating to ₹ 7080/- per participant
(In case of TDS deduction, please send us TDS certificate).

Programme fees may be remitted to the credit of Institute's account as given below:

Beneficiary Name	:	Indian Institute of Banking and Finance
Name of the Bank Branch	:	State Bank of India, Vidyavihar (West), Mumbai.
SB Account No	:	42895503864
IFSC Code	:	SBIN0011710
PAN No.:	AAATT3309D	GSTN No.: 27AAATT3309D1ZS

In case of any quires, kindly contact

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**** Last Date of Registration is 23rd Jan 2025 ****

To register kindly email, the following details

Sr. No.	Name (as required on certificate)	Institution Name	Branch/ Office/Department	Designation	Mobile No. (WhatsApp)	E-mail Id
1	Ms.					
2	Mr.					
Add additional rows as required						

Institution Details	
Name of Bank / FI	:
Address (where certificate is to be sent)	:
GST No.	:
PAN No.	:
Details of Nominating Authority	
Name	:
Designation	:
Mobile No.	:
E-Mail Id	: